FORM 4

# **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

| OI | MR | AP | PR | O | /Α |
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|    |    |    |    |   |    |

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|-------------------------|-----------|
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| hours per response.     | 0.5       |

#### Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan for the purchase or sale of equity securities of the issuer that is intended to satisfy the affirmative defense conditions of Rule

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 10b5-1(c). See          | e Instruction 10.      |       |   |   |
|-------------------------|------------------------|-------|---|---|
|                         | dress of Reporting Per | rson* | 2. Issuer Name <b>and</b> Ticker or Trading Symbol ORAGENICS INC [ OGEN ] | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  X Director 10% Owner |
| (Last) (First) (Middle) |                        | ` ,   | 3. Date of Earliest Transaction (Month/Day/Year) 10/04/2023               | Officer (give title Other (specify below)   |
| 4902 EISENF             | HOWER BOULEV           | ARD   | 4. If Amendment, Date of Original Filed (Month/Day/Year)                  | 6. Individual or Joint/Group Filing (Check Applicable Line)                                   |
| (Street)                |                        |       |   | X Form filed by One Reporting Person  Form filed by More than One Reporting Person            |
| TAMPA                   | FL                     | 33634 |   | . s.m. mee s, more than one reporting release   |
| (City)                  | (State)                | (Zip) |   |   |

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | Transaction Code (Instr. |   | 4. Securities Ad<br>Disposed Of (D |               |       | Securities                         | Form: Direct (D)<br>or Indirect (I)<br>(Instr. 4) | Beneficial<br>Ownership |
|---------------------------------|--|---|--------------------------|---|------------------------------------|---------------|-------|------------------------------------|---|-------------------------|
|                                 |  |   | Code                     | v | Amount                             | (A) or<br>(D) | Price | Transaction(s)<br>(Instr. 3 and 4) |   | (Instr. 4)              |

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security (Instr.<br>3)              | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transac<br>Code (In<br>8) |   |       | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                     | 7. Title and Amount of<br>Securities Underlying<br>Derivative Security (Instr.<br>3 and 4) |                 | Derivative                          | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
|--|---|--|---|---------------------------------|---|-------|--|---------------------|--|-----------------|-------------------------------------|--|--|--|--|
|  |   |  |   | Code                            | v | (A)   | (D)  | Date<br>Exercisable | Expiration<br>Date   | Title           | Amount<br>or<br>Number<br>of Shares |  | Transaction(s)<br>(Instr. 4)   |  |  |
| Non-Employee<br>Director Option<br>(Right to Buy) <sup>(1)</sup> | \$2.94  | 10/04/2023                                 |   | A                               |   | 5,102 |  | (1)                 | 10/04/2033   | Common<br>Stock | 5,102                               | \$0  | 5,102 <sup>(1)</sup>   | D  |  |

## Explanation of Responses:

1. Represents an initial equity award upon appointment to the Company's board of directors of options pursuant to the non-employee director compensation program to purchase shares of the Company's common stock under the Company's 2021 Equity Incentive Plan that vests immediately.

/s/ Julio C. Esquivel, as Attorneyin-Fact for John Gandolfo 10/06/2023

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

 $Note: File \ three \ copies \ of \ this \ Form, \ one \ of \ which \ must \ be \ manually \ signed. \ If \ space \ is \ insufficient, \ see \ Instruction \ 6 \ for \ procedure.$ 

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.